# **Incident Report for Breach of Ethics**

#### 1. Incident Details

- **Date of Report**: [Insert Date]
- **Date of Incident**: [Insert Date]
- **Reported By**: [Name of Reporter]
- **Role/Position**: [Role/Position of Reporter]
- **Project Title**: [Title of the Project]
- **Principal Investigator**: [Name of Principal Investigator]
- **Department**: [Department Name]

# 2. Description of the Incident

- **Summary of the Incident**: Provide a brief summary of the incident, including what happened, where it occurred, and who was involved.
  - What Happened: [Detailed description of the incident]
  - o **Location**: [Location of the incident]
  - o **Involved Parties**: [Names and roles of individuals involved]

#### 3. Nature of the Breach

- **Type of Breach**: Describe the nature of the ethical breach (e.g., data privacy violation, informed consent issue, conflict of interest).
  - o **Details of the Breach**: [Detailed description of the ethical breach]
  - Relevant Ethical Guidelines: [Reference to specific ethical guidelines or standards that were breached]

### 4. Immediate Actions Taken

- **Actions Taken**: Describe any immediate actions taken to address the breach and mitigate any harm.
  - o **Steps Taken**: [Detailed description of actions taken]
  - By Whom: [Names and roles of individuals who took action]

### 5. Impact Assessment

- **Impact on Participants**: Assess the impact of the breach on research participants.
  - Details: [Detailed description of the impact]
- **Impact on Research**: Assess the impact of the breach on the research project.
  - o **Details**: [Detailed description of the impact]

### 6. Follow-Up Actions

• **Corrective Measures**: Describe the corrective measures implemented to prevent future occurrences.

- o **Details**: [Detailed description of corrective measures]
- **Communication**: Outline how the breach and corrective measures were communicated to relevant stakeholders.
  - o **Details**: [Detailed description of communication efforts]

#### 7. Recommendations

- **Recommendations for Improvement**: Provide recommendations for improving ethical compliance and preventing similar breaches in the future.
  - o **Details**: [Detailed description of recommendations]

### 8. Conclusion

- **Summary**: Summarize the key points of the incident report and any final remarks.
  - o **Details**: [Summary of key points]

## 9. Signatures

- **Reporter**: [Name and Signature of Reporter]
- **Date**: [Date]
- **Principal Investigator**: [Name and Signature of Principal Investigator]
- Date: [Date]
- **REC Chair**: [Name and Signature of REC Chair]
- **Date**: [Date]